

Application to cash transfer a Child Trust Fund (CTF) into a Junior Stocks & Shares ISA with Fidelity

- You can only transfer a CTF if you have parental responsibility for the child who will be the account holder.
- You must complete sections 1, 2, 3 and Letter of Authority (if you have one).
- Your adviser (if you have one) should complete section 4.
- Please use black ink and write clearly inside the boxes using capital letters.
- If you make a mistake, you can correct it, but please don't use correction fluid.

Tadworth KT20 9FU

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Postcode

2 About you – the account holder's parent or guardian

To help prevent fraud and money laundering we need to check your identity. We may be able to do this online using the information you give us below. The check will create a record on your credit report, which will only be visible to you and will not affect your credit score.

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Surname

First and other names in full

Date of birth (DDMMYYYY)

Town of birth

Country of birth

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

National Insurance Number

If you do not have a National Insurance Number, please mark an X in the box.

☐

Originating source of this investment

☐ Income from salary ☐ Inheritance ☐ Savings from income

☐ Divorce Settlement ☐ Gift

☐ Sale of Property ☐ Sale of Investments/transfer

☐ Other (Please specify)

Are you a UK National only? (Please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? (tick box and list all other countries below) ☐

Are you a National of Non-UK countries only? (tick box and list all other countries below) ☐

Nationality 1

Nationality 2

Nationality 3

Nationality 4

If you are a dual national or a national of another country then a national identifier may be required. Please refer to our guide for capturing nationalities.

National Identifier

2 About you – the account holder's parent or guardian (continued)

Your address (if different to the child) – 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you or your spouse /civil partner are a Crown Employee or British Forces Posted Overseas.

House number/name

Street, city, county and country

Postcode

Phone number

Mobile number

Email

3 Declaration and signature

- I apply to transfer a Child Trust Fund in cash for the child named in section 1 of this application.
- I have parental responsibility for the child named in this application and I am the registered contact for the Child Trust Fund and I will be for the Junior ISA being opened..
- I am 18 years of age or over.
- The child named in this application is resident in the UK.
- I have read and saved or printed the latest version of:
 - Key Features documents - Doing Business with Fidelity and the Client Terms
- I accept the Client Terms.
- I accept the assets will be sold and transferred as cash.
- I understand that the Child Trust Fund account I am transferring will be transferred into a Junior Stocks and Shares ISA and cannot be transferred back to a Child Trust Fund and the child does not hold any other Child Trust Fund account or stocks and shares Junior ISA currently
- I authorise Financial Administration Services Limited to:
 - Hold the child's subscriptions, Junior ISA investments, interest, dividends and any other

rights or proceeds in respect of those investments and cash; and

- Make on the child's behalf any claims to tax relief in respect of Junior ISA investments.
- I understand that the information I provide in this application will be processed in accordance with the data protection statement in the Client Terms.
- The information I have provided in this application is correct, to the best of my knowledge, and I will inform Fidelity immediately of any changes.

Signature



Print name

Date

(DDMMYYYY)

4 Intermediary details – to be completed by the intermediary

Unique Adviser Number

Office use only
Please ignore

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Have you provided a personal recommendation?

(You must mark an X in either the Yes or No box)

☐ Yes

☐ No

An Initial and Specified Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

I confirm and consent to Fidelity's reliance on the fact that I have verified the parent of the account holder in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for five years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

I confirm that I have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with Fidelity Adviser Solutions.
- Personal Illustration.
- The Fidelity Adviser Solutions Client Terms.

Signature of intermediary

Date

(DDMMYYYY)

This Letter of Authority MUST be submitted with a Junior ISA Application Form.

Please enter below the details held by your existing CTF Manager.

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(this must be completed or your application will be rejected)

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[illegible][illegible]

☐ Cash ☐ Stocks and Shares

[illegible]

Postcode

4 Declaration and signature

By completing this form and signing below I hereby authorise the CTF manager named to:

- Sell and transfer as cash all previous and current tax year CTF holdings.
- Provide Fidelity with any information which they might require in respect to the CTF managed by them on my behalf, including, but not limited to transaction and dividend histories and details of the current portfolio and its value.
- Cease collections of any regular savings plan with immediate effect.

Signature

Date

(DDMMYYYY)

Please send your completed CTF Transfer form together with your completed Letter(s) of Authority to your Intermediary or to Fidelity, PO Box 391, Tadworth, KT20 9FU.
Issued by Financial Administration Services Limited, authorised and regulated by the Financial Conduct Authority.